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Approved for use through 04/30/2003, OMB 0651-003 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE are required to respond to a collection of information unless it displays a valid OMB control number **Application Number** 10/679,081 TRANSMITTAL Filing Date 10/03/2003 **FORM** First Named Inventor Hans-Michael Dosch Art Unit 1632 (to be used for all correspondence after initial filing) **Examiner Name** Attorney Docket Number 7 2560.001 Total Number of Pages in This Submission **ENCLOSURES** (Check all that apply) After Allowance Communication Fee Transmittal Form Drawing(s) to a Technology Center (TC) Appeal Communication to Board Licensing-related Papers Fee Attached of Appeals and Interferences Appeal Communication to TC Petition (Appeal Notice, Brief, Reply Brief) Amendment/Reply Petition to Convert to a Proprietary Information After Final **Provisional Application** Power of Attorney, Revocation Status Letter Affidavits/declaration(s) Change of Correspondence Address Other Enclosure(s) (please Terminal Disclaimer **Extension of Time Request** Identify below): Request for Refund Express Abandonment Request Copies of (41) references CD, Number of CD(s) Information Disclosure Statement Remarks Certified Copy of Priority Document(s) Response to Missing Parts/ Incomplete Application Response to Missing Parts under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm Ferris H. Lander McHale & Slavin, P.A. <u>Individual</u> Signature Date CERTIFICATE OF TRANSMISSION/MAILING I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date: Typed or printed Debra N. Gerstemeier 6-11-2W Signature Date

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICANT

: Hans-Michael Dosch et al

INVENTION

: PREVENTION OF PRIMARY SJOGREN'S SYNDROME BY ICA69 DEFICIENCY

STNDROME BY ICAO

SERIAL NUMBER

: 10/679,081

FILING DATE

: October 3, 2003

EXAMINER

: (unassigned)

GROUP ART UNIT

: 1632

ATTORNEY DOCKET NO.

: 2560.001

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents P. O. Box 1450 Alexandria, VA 22313-1450

Sir:

CERTIFICATE UNDER 37 CFR §1.8(a)
I hereby certify that this correspondence is being deposited with the U.S. Postal Service as First Class mail in an envelope addressed to Commissioner for Patents, P.O. Box 1450,

Pursuant to the Duty to Disclose under 37 CFR §1.56, the references cited on the accompanying form PTO/SB/08A and PTO/SB/08B are hereby brought to the attention of the Examiner for independent evaluation. A copy of each reference is enclosed. The first (7) references were cited in an International Search Report issued in corresponding International Patent Application No. PCT/CA03/01535.

Applicants submit that the present invention is patentable over these references.

Date 6/10/2004

Respectfully submitted,

Ferris H. Lander

Registration No. 43,377

McHale & Slavin, P.A.

2855 PGA Boulevard

Palm Beach Gardens, FL 33410

Telephone: (561) 625-6575 Facsimile: (561) 625-6572

PTO/SB/08A (08-03)

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Coi	Complete if Known					
Application Number	10/679,081					
Filing Date	10/3/2003					
First Named Inventor	Hans-Michael Dosch					
Art Unit	1632					
Examiner Name						
Attorney Docket Number	2560.001					

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Examiner Ci Initials* No	Cite No. ¹	Document Number Number-Kind Code ^{2 (# known)}	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
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		FORE	IGN PATENT DOCU	JMENTS		
Examiner Initials*	Cite No.1	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages	
		Country Code ³ Number ⁴ Kind Code ⁵ (if known)	MM-DD-YYYY		Or Relevant Figures Appear	T
		WO01/14877 A2	03/01/2001	Orgentec Diagnostika GMBH		
		WO02/16414 A2	02/28/2002	Micromet AG		
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Substitute for form 1449/PTO		Complete if Known
Substitute for form 144577 70	Application Number	10/679,081
NFORMATION DISCLOSUR	RE Filing Date	10/3/2003
STATEMENT BY APPLICA	NT First Named Inventor	Hans-Michael Dosch
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Sheet 2 of 5	Attorney Docket Number	2560.001

Examiner	Cite	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of	
Initials*	No. ¹	the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
		S. WINER et al, "ICA69null nonobese diabetic mice develop diabetes, but resist disease acceleratino by cyclophosphamide", Journal of Immunology, 168(1):475-482 (January, 2002)	
· · · · · · · · · · · · · · · · · · ·		S. MARTIN et al, "Autoantibodies to the islet antigen ICA69 occur in IDDM and in rheumatoid arthritis", Diabetologia, 38(3):351-355 (March, 1995)	
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^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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Substitut	Substitute for form 1449/PTO		Complete if Known		
00000				Application Number	10/679,081
INFO	DRMATION	DIS	CLOSURE	Filing Date	10/3/2003
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Sheet	3	of	5	Attorney Docket Number	2560.001

	0:4-	NON PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T²
		N. HANEJI et al, "Identification of alpha-Fodrin as a candidate autoantigen in primary Sjogren's syndrome", Science, 276:604-607 (April, 1997)	
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-14.		W. KARGES et al, "Loss of self-tolerance to ICA69 in nonobese diabetic mice", Diabetes, 46:1548-1556 (October, 1997)	
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Sheet	4	of	5	Attorney Docket Number	2560.001	

	1	NON PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
		M. PILON et al, "The diabetes autoantigen ICA69 and its Caenorhabditis elegans homologue, ric-19, are conserved regulators of neuroendocrine secretion", Molecular Biology of the Cell, 11:3277-3288 (October, 2000)	
		S. WINER et al, "ICA69 null nonobese diabetic mice develop diabetes, but resist disease acceleration by cyclophosphamide", The Journal of Immunology, 168:475-482 (2002)	
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		S. WINER et al, "Type I diabetes and multiple sclerosis patients target islet plus central nervous system autoantigens; nonimmunized nonobese diabetic mice can develop autoimmune encephalitis", The Journal of Immunology, 166:2831-2841 (2001)	
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		C. LAFITTE et al, "Neurological complications of primary Sjogren's syndrome", Journal of Neurology, 248(7):577-584 (July, 2001)	

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Substitute for form 1443/110				Application Number	10/679,081
INFORMATION DISCLOSURE				Filing Date	10/3/2003
STATEMENT BY APPLICANT		First Named Inventor	Hans-Michael Dosch		
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			cessary)	Examiner Name	
Sheet	5	of	5	Attorney Docket Number	2560.001

Examiner	Cite	NON PATENT LITERATURE DOCUMENTS Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of	
Initials*	No.1	the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
		A. ANDONOPOULOS et al, "The spectrum of neurological involvement in Sjogren's syndrome", The British Journal of Rheumatology, 29:21-23 (1990)	
<u> </u>		K. MALINOW et al, "Subacute sensory neuronopathy secondary to dorsal root ganglionitis in primary Sjogren's syndrome", Ann. Neurol., 20:535-537 (1986)	
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